In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE

STATEMENT

Serial No.:

10/033,092

Applicants:

Richard E. Smalley et al.

Filing Date:

December 28, 2001

Group:

1754

Atty. Docket No.:

11321-P012USD4

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner					
Initia	al				
SLW AEA					
	_				

KIANG, Ching-Hwa et al. "Carbon Nanotubes With Single-Layer Walls," Carbon, 1995, Vol. 33, No. 7, pp. 903-914.

KIANG, Ching-Hwa et al. "Structural Modification of Single-Layer Carbon Nanotubes with an Electron Beam,"

J. Phys. Chem., 1996, Vol. 100, pp. 3749-3752.

Examiner:		2	Jeson	
	1/1	′ አ ዜ ነ ፣	40300	

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

264472v.1

BEST AVAILABLE COPY

In Place of FORM PTO-1449 (Modified)

APPLICANTS' INFORMATION DISCLOSERE

SEP 2 1 200 LIST OF PATENTS AND PUBLICATION FOR

Serial No.: 10/033,092

Applicants: Filing Date: Richard E. Smalley et al. December 28, 2001

Group:

1754

Atty. Docket No.: 11321-P012USD4

Reference Designation

STATEMENT

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
AAA						
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
∠Initial
JUJAFA

STEPHAN et al. "Doping Graphitic and Carbon Nanotube Structures with Boron and Nitrogen," Science, Vol. 266, pp. 1683-1685, December 1994.

Examiner:	10011/4	Date Considered: 1) 15 1~
	- MANGE THE	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN_1 260662v1